

Form 603

**Corporations Act 2001
Section 671B**

Notice of initial substantial holder

To Company Name/Scheme ALUMINA LIMITED

ACN/ARSN 004 820 419

1. Details of substantial holder (1)

Name State Street Corporation and subsidiaries named in Annexures to this form

ACN/ARSN (if applicable) _____

The holder became a substantial holder on 28/12/2022

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary	154,941,793	154,941,793	5.34%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure A		

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Annexure A			

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-Cash	
Annexure B, D & E				

Information Classification: Limited Access

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Annexure A	

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Annexure C	

Signature

print name **Alok Maheshwary**

Capacity: **Authorised signatory**

sign here



date **18/01/2023**

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of “associate” in section 9 of the Corporations Act 2001.
- (3) See the definition of “relevant interest” in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person’s votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of “relevant agreement” in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg if the relevant interest arises because of an option) write “unknown”.
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.



18 January 2023

Alok Maheshwary
Authorised Signatory

3. Details of relevant interests

4. Details of present registered holders

6. Associates

Holder of relevant interest	Nature of Relevant Interest	Registered Holder of securities	Person Entitled to be registered as holder	Class and number of Securities		Nature of Association
(Section 3, 4 and 6)	(Section 3)	(Section 4)	(Section 4)	(Section 3 and 4)		(Section 6)
SSGA FUNDS MANAGEMENT, INC.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	5,162,749	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS ASIA LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	307,470	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	219,283	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Credit Suisse AG	n/a	Ordinary	72,131	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	26,294	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	15,192	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	279,199	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	338,556	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	138,996	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	69,863	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	3,800,515	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Bank of New York Mellon	n/a	Ordinary	1,049,097	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Fiduciary Trust	n/a	Ordinary	640	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	289,336	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	945,977	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS TRUST COMPANY	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	13,871,511	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	BNP Paribas	n/a	Ordinary	910,856	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	JPMorgan AG	n/a	Ordinary	2,882,792	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	Northern Trust Company	n/a	Ordinary	62,922,395	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	23,558,869	Subsidiary of State Street Corporation
STATE STREET GLOBAL ADVISORS, LTD.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities as investment manager or trustee	State Street Bank and Trust Co	n/a	Ordinary	33,777	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For borrowed and on-lent securities: Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	UNKNOWN	STATE STREET BANK AND TRUST COMPANY	Ordinary	145,193	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For borrowed and on-lent securities: Relevant interest under section 608(1) being the right, as lender, to recall shares under a securities loan	UNKNOWN	STATE STREET BANK AND TRUST COMPANY	Ordinary	24,827	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	ALAMEDA COUNTY EMPLOYEE RETIREMENT ASSOCIATION	ALAMEDA COUNTY EMPLOYEE RETIREMENT ASSOCIATION	Ordinary	11,558	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	AWARE SUPER PTY LTD AS TRUSTEE OF THE AWARE SUPER	AWARE SUPER PTY LTD AS TRUSTEE OF THE AWARE SUPER	Ordinary	15,620,406	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	CALSTRS	CALSTRS	Ordinary	2,510,003	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	DIMENSIONAL FUND ADVISORS	DIMENSIONAL FUND ADVISORS	Ordinary	772,668	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	M FUND, INC.	M FUND, INC.	Ordinary	167	Subsidiary of State Street Corporation

STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	MANAGED PENSION FUNDS LIMITED	MANAGED PENSION FUNDS LIMITED	Ordinary	836,324	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	SEA1	SEA1	Ordinary	22,674	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	SSUTM AUTHORISED UNIT TRUST SCHEME	SSUTM AUTHORISED UNIT TRUST SCHEME	Ordinary	2,279,832	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	STATE STREET GLOBAL ADVISORS FUNDS MANAGEMENT LIMITED	STATE STREET GLOBAL ADVISORS FUNDS MANAGEMENT LIMITED	Ordinary	69,470	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	VANECK ETF FUNDS	VANECK ETF FUNDS	Ordinary	51,397	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For Lent securities: Relevant interest under section 608(8A) being the holder of securities subject to an obligation to return under a securities lending agreement. State Street Bank and Trust Company has lent the securities and retains a relevant interest	WISDOMTREE TRUST	WISDOMTREE TRUST	Ordinary	2,106,898	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For collateral securities: Relevant interest under section 608(8A) being the right, as lender, to dispose of shares transferred to secure a securities loan	THE CREDIT SUISSE GROUP	THE CREDIT SUISSE GROUP	Ordinary	140,000	Subsidiary of State Street Corporation
STATE STREET BANK AND TRUST COMPANY	For collateral securities: Relevant interest under section 608(8A) being the right, as lender, to dispose of shares transferred to secure a securities loan	THE NATIONAL AUSTRALIA BANK GROUP	THE NATIONAL AUSTRALIA BANK GROUP	Ordinary	13,454,878	Subsidiary of State Street Corporation



18 January 2023

Alok Maheshwary
Authorised Signatory
5. Consideration

Holder of relevant interest	Date of Acquisition	Nature of transaction	Consideration	Class and number of Securities affected
STATE STREET GLOBAL ADVISORS ASIA LIMITED	16-Sep-22	Purchase	1.42	Ordinary 276,248
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	18-Oct-22	Purchase	1.27	Ordinary 249,295
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	27-Oct-22	Purchase	1.39	Ordinary 840
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	3-Nov-22	Purchase	1.45	Ordinary 1,682
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	29-Nov-22	Purchase	1.53	Ordinary 1,678
STATE STREET GLOBAL ADVISORS LIMITED	23-Sep-22	Purchase	1.36	Ordinary 22,000
STATE STREET GLOBAL ADVISORS LIMITED	16-Nov-22	Purchase	1.58	Ordinary 20,975
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	29-Aug-22	In specie contribution	n/a	Ordinary 40,020
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	31-Aug-22	Purchase	1.49	Ordinary 11,622
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	31-Aug-22	Purchase	1.52	Ordinary 487,778
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	15-Sep-22	In specie contribution	n/a	Ordinary 2,771
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	16-Sep-22	Purchase	1.42	Ordinary 2,034,700
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	21-Sep-22	In specie contribution	n/a	Ordinary 3,615,007
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	21-Sep-22	In specie contribution	n/a	Ordinary 4,034,201
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	21-Sep-22	In specie contribution	n/a	Ordinary 3,626,513
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	23-Sep-22	In specie contribution	n/a	Ordinary 10,980
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	26-Sep-22	Purchase	1.28	Ordinary 17,589
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	27-Sep-22	In specie contribution	n/a	Ordinary 9,150
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	6-Oct-22	Purchase	1.31	Ordinary 75,654
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	13-Oct-22	In specie contribution	n/a	Ordinary 21,552
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	17-Oct-22	Purchase	1.22	Ordinary 81,872
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	17-Oct-22	Purchase	1.23	Ordinary 6,433
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	26-Oct-22	In specie contribution	n/a	Ordinary 2,744
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	9-Nov-22	In specie contribution	n/a	Ordinary 41,160
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	10-Nov-22	In specie contribution	n/a	Ordinary 41,160
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	21-Nov-22	In specie contribution	n/a	Ordinary 79,332
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	16-Dec-22	Purchase	1.51	Ordinary 52,215
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	16-Dec-22	Purchase	1.51	Ordinary 3,929
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	20-Dec-22	Purchase	1.52	Ordinary 23,274
STATE STREET GLOBAL ADVISORS, INC.	31-Aug-22	Purchase	1.52	Ordinary 90,347
STATE STREET GLOBAL ADVISORS, INC.	14-Sep-22	Purchase	1.45	Ordinary 5,254
STATE STREET GLOBAL ADVISORS, INC.	19-Sep-22	Purchase	1.42	Ordinary 55,162
STATE STREET GLOBAL ADVISORS, INC.	20-Sep-22	Purchase	1.44	Ordinary 4,654
STATE STREET GLOBAL ADVISORS, INC.	20-Sep-22	In specie contribution	n/a	Ordinary 1,818
STATE STREET GLOBAL ADVISORS, INC.	29-Sep-22	Purchase	1.30	Ordinary 4,701
STATE STREET GLOBAL ADVISORS, INC.	30-Sep-22	Purchase	1.27	Ordinary 1,686
STATE STREET GLOBAL ADVISORS, INC.	4-Oct-22	In specie contribution	n/a	Ordinary 147,893
STATE STREET GLOBAL ADVISORS, INC.	6-Oct-22	Purchase	1.31	Ordinary 122,197
STATE STREET GLOBAL ADVISORS, INC.	6-Oct-22	Purchase	1.32	Ordinary 2,531
STATE STREET GLOBAL ADVISORS, INC.	7-Oct-22	In specie contribution	n/a	Ordinary 16,656
STATE STREET GLOBAL ADVISORS, INC.	13-Oct-22	In specie contribution	n/a	Ordinary 110,346
STATE STREET GLOBAL ADVISORS, INC.	18-Oct-22	In specie contribution	n/a	Ordinary 8,332
STATE STREET GLOBAL ADVISORS, INC.	21-Oct-22	In specie contribution	n/a	Ordinary 37,494
STATE STREET GLOBAL ADVISORS, INC.	26-Oct-22	In specie contribution	n/a	Ordinary 52,100
STATE STREET GLOBAL ADVISORS, INC.	27-Oct-22	In specie contribution	n/a	Ordinary 2,727
STATE STREET GLOBAL ADVISORS, INC.	4-Nov-22	In specie contribution	n/a	Ordinary 136,851
STATE STREET GLOBAL ADVISORS, INC.	9-Nov-22	In specie contribution	n/a	Ordinary 10,410
STATE STREET GLOBAL ADVISORS, INC.	30-Nov-22	Purchase	1.52	Ordinary 6,008
STATE STREET GLOBAL ADVISORS, INC.	30-Nov-22	In specie contribution	n/a	Ordinary 59,126
STATE STREET GLOBAL ADVISORS, INC.	2-Dec-22	Purchase	1.57	Ordinary 24,752
STATE STREET GLOBAL ADVISORS, INC.	7-Dec-22	In specie contribution	n/a	Ordinary 15,600
STATE STREET GLOBAL ADVISORS, INC.	8-Dec-22	In specie contribution	n/a	Ordinary 22,616
STATE STREET GLOBAL ADVISORS, INC.	12-Dec-22	Purchase	1.55	Ordinary 162,732
STATE STREET GLOBAL ADVISORS, INC.	13-Dec-22	In specie contribution	n/a	Ordinary 909
STATE STREET GLOBAL ADVISORS, INC.	16-Dec-22	Purchase	1.51	Ordinary 148,707
STATE STREET GLOBAL ADVISORS, INC.	21-Dec-22	In specie contribution	n/a	Ordinary 1,816
STATE STREET BANK AND TRUST COMPANY	30-Nov-22	Return of securities under a securities loan from JPMorgan Chase Bank NA – Refer Part A of Annexure E	n/a	Ordinary (11,450)
STATE STREET BANK AND TRUST COMPANY	5-Oct-22	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure E	n/a	Ordinary 101,582
STATE STREET BANK AND TRUST COMPANY	31-Oct-22	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure E	n/a	Ordinary 32,161
STATE STREET BANK AND TRUST COMPANY	30-Nov-22	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure E	n/a	Ordinary 32,011
STATE STREET BANK AND TRUST COMPANY	19-Dec-22	Borrowing securities under a securities loan from State Street Bank and Trust Company – Refer Part A of Annexure E	n/a	Ordinary 4,266
STATE STREET BANK AND TRUST COMPANY	5-Oct-22	Lending securities under a securities loan to AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND – Refer Part B of Annexure E	n/a	Ordinary 101,582
STATE STREET BANK AND TRUST COMPANY	31-Oct-22	Lending securities under a securities loan to AQR FUNDS AQR ALTERNATIVE RISK PREMIA FUND – Refer Part B of Annexure E	n/a	Ordinary 32,161
STATE STREET BANK AND TRUST COMPANY	30-Nov-22	Lending securities under a securities loan to CIS GLOBAL EQUITY FUND LP – Refer Part B of Annexure E	n/a	Ordinary 20,561
STATE STREET BANK AND TRUST COMPANY	19-Dec-22	Lending securities under a securities loan to CIS GLOBAL EQUITY FUND LP – Refer Part B of Annexure E	n/a	Ordinary 4,266
STATE STREET BANK AND TRUST COMPANY	2-Dec-22	Transfer of title of securities to secure a securities loan from THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary 74,214
STATE STREET BANK AND TRUST COMPANY	5-Dec-22	Return of securities provided to secure a securities loan to THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary (1,277)
STATE STREET BANK AND TRUST COMPANY	6-Dec-22	Transfer of title of securities to secure a securities loan from THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary 399
STATE STREET BANK AND TRUST COMPANY	7-Dec-22	Transfer of title of securities to secure a securities loan from THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary 132
STATE STREET BANK AND TRUST COMPANY	8-Dec-22	Transfer of title of securities to secure a securities loan from THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary 379
STATE STREET BANK AND TRUST COMPANY	9-Dec-22	Return of securities provided to secure a securities loan to THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary (26)
STATE STREET BANK AND TRUST COMPANY	12-Dec-22	Transfer of title of securities to secure a securities loan from THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary 5,069
STATE STREET BANK AND TRUST COMPANY	13-Dec-22	Transfer of title of securities to secure a securities loan from THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary 1,302
STATE STREET BANK AND TRUST COMPANY	14-Dec-22	Return of securities provided to secure a securities loan to THE CREDIT SUISSE GROUP – Refer Part B of Annexure D	n/a	Ordinary (863)

STATE STREET BANK AND TRUST COMPANY	29-Nov-22	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	1,332
STATE STREET BANK AND TRUST COMPANY	30-Nov-22	Return of securities provided to secure a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(71,731)
STATE STREET BANK AND TRUST COMPANY	16-Dec-22	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	73,065
STATE STREET BANK AND TRUST COMPANY	19-Dec-22	Return of securities provided to secure a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(23,000)
STATE STREET BANK AND TRUST COMPANY	6-Oct-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	18,540
STATE STREET BANK AND TRUST COMPANY	21-Oct-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	749,543
STATE STREET BANK AND TRUST COMPANY	13-Dec-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(18,540)
STATE STREET BANK AND TRUST COMPANY	21-Dec-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(749,543)
STATE STREET BANK AND TRUST COMPANY	6-Oct-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	334,166
STATE STREET BANK AND TRUST COMPANY	12-Oct-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	744
STATE STREET BANK AND TRUST COMPANY	13-Oct-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(12,045)
STATE STREET BANK AND TRUST COMPANY	17-Oct-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	744
STATE STREET BANK AND TRUST COMPANY	18-Oct-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(3,212)
STATE STREET BANK AND TRUST COMPANY	20-Oct-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(1,488)
STATE STREET BANK AND TRUST COMPANY	1-Nov-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(179,584)
STATE STREET BANK AND TRUST COMPANY	8-Nov-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(2,454)
STATE STREET BANK AND TRUST COMPANY	15-Nov-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	500,000
STATE STREET BANK AND TRUST COMPANY	16-Nov-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	499,833
STATE STREET BANK AND TRUST COMPANY	17-Nov-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	15,621
STATE STREET BANK AND TRUST COMPANY	22-Nov-22	Lending securities under a securities loan to THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	1,000,000
STATE STREET BANK AND TRUST COMPANY	13-Dec-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(136,871)
STATE STREET BANK AND TRUST COMPANY	21-Dec-22	Return of securities provided to secure a securities loan by THE GOLDMAN SACHS GROUP – Refer Part A of Annexure D	n/a	Ordinary	(15,621)
STATE STREET BANK AND TRUST COMPANY	4-Oct-22	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	29,851
STATE STREET BANK AND TRUST COMPANY	20-Oct-22	Return of securities provided to secure a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(10,814)
STATE STREET BANK AND TRUST COMPANY	28-Oct-22	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	32,161
STATE STREET BANK AND TRUST COMPANY	29-Nov-22	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	132,261
STATE STREET BANK AND TRUST COMPANY	30-Nov-22	Return of securities provided to secure a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(19,037)
STATE STREET BANK AND TRUST COMPANY	16-Dec-22	Lending securities under a securities loan to THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	13,777
STATE STREET BANK AND TRUST COMPANY	19-Dec-22	Return of securities provided to secure a securities loan by THE STATE STREET GROUP – Refer Part A of Annexure D	n/a	Ordinary	(71,134)



18 January 2023

Alok Maheshwary

Authorised Signatory

7. Addresses

Name	Address
SSGA FUNDS MANAGEMENT, INC.	Channel Center, 1 Iron Street, Boston MA 02210, United States
STATE STREET GLOBAL ADVISORS ASIA LIMITED	68th Floor, Two International Finance Centre, 8 Finance Street, Central, Hong Kong, China
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	78 Sir John Rogerson's Quay, Dublin 2, Ireland
STATE STREET GLOBAL ADVISORS LIMITED	20 Churchill Place, London, England, E14 5HJ, United Kingdom
STATE STREET GLOBAL ADVISORS TRUST COMPANY	1 Iron Street, Boston MA 02210, United States
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	Level 15, 420 George Street, Sydney NSW 2000, Australia
STATE STREET GLOBAL ADVISORS, LTD.	1981 McGill College Avenue, Suite 500, Montreal Québec H3A 3A8, Canada
STATE STREET GLOBAL ADVISORS, INC.	1 Iron Street, Boston MA 02210, United States
STATE STREET BANK AND TRUST COMPANY	1 Lincoln Street, Boston MA 02111-2900, United States



18 January 2023

Alok Maheshwary
Authorised Signatory

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as lender of the securities under securities lending authorisation agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has lent the securities and retains relevant interest as per Part B of this Annexure.)

Type of agreement	Securities Lending Authorisation Agreement/ Global Master Securities Lending Agreement/ Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) No (2) Yes. (Borrower has the right to vote, but may on-lend securities)
If yes, in what circumstances	(1) Only if instructed to by the borrower (2) As determined by the owner of the securities
Transfer date	The dates on which the securities were delivered by State Street Bank and Trust Company as lender are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("title transfer") over the securities as collateral to secure a securities loan. (See Part A of this Annexure for securities loan details.)

Type of agreement	Global Master Securities Lending Agreement Securities Lending Authorisation Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and ownership is enforced (2) Yes
If yes, in what circumstances	(1) Only if the borrower defaults and ownership is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Transfer date	The dates on which the securities were transferred, as indicated in Annexure B to this notice
Scheduled return date	No scheduled return date. Securities collateral is returned on termination of related securities loan
Does the borrower have the right to have the securities returned early?	No scheduled return date. Securities collateral is returned on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to return securities collateral on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must return securities collateral if the borrower returns the borrowed securities or equivalent securities)



18 January 2023

Alok Maheshwary
Authorised Signatory

State Street Bank and Trust Company will, if requested by the company or responsible entity to whom this form must be given under the Corporations Act 2001 (Cth) or if requested by the Australian Securities and Investment Commission (ASIC), provide a copy of the master securities lending agreement/s and security agreement/s referred to below to the company, responsible entity or ASIC.

Part A: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires relevant interest as borrower of the securities under securities lending agreement, subject to obligation to return under the agreement. (State Street Bank and Trust Company has on-lent the securities and retains relevant interest as per Part B of this Annexure.)

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement/Securities Loan Agreement
Parties to agreement	(1) State Street Bank and Trust Company (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes (if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure) (2) No, not during term of securities loan
If yes, in what circumstances	(1) Only if the borrower is the registered holder. However the securities are on-lent by the borrower as per Part B of this Annexure (2) n/a
Transfer date	The dates on which the securities were delivered to State Street Bank and Trust Company as borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

Part B: For relevant interests arising out of lending securities - State Street Bank and Trust Company lends the securities under securities lending agreement, and retains relevant interest through the right to recall the securities or equivalent securities.

Type of agreement	Global Master Securities Lending Agreement/Master Securities Loan Agreement
Parties to agreement	(1) STATE STREET BANK AND TRUST COMPANY (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the lender recalls the loan and exercises its right to become the registered holder (2) Yes (while registered holder)
If yes, in what circumstances	(1) Only if the lender recalls the loan and exercises its right to become the registered holder (2) Borrower may exercise voting rights (while registered holder)
Transfer date	The dates on which the securities were delivered to the borrower are set out in Annexure B to this notice
Scheduled return date	No scheduled return date
Does the borrower have the right to return the securities early?	No scheduled return date. Borrower can return securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Borrower can return securities or equivalent securities at any time subject to giving notice
Does the lender have the right to recall the securities early (ie before the scheduled return date)?	No scheduled return date. Lender can require return of securities or equivalent securities at any time subject to giving notice
If yes, in what circumstances	Lender can require return of securities or equivalent securities at any time subject to giving notice
Are there any circumstances in which the borrower is not required to return securities or equivalent securities on settlement?	No
If yes, detail any exceptions	n/a

Part C: For relevant interests arising out of lending securities - State Street Bank and Trust Company acquires a relevant interest in securities through taking a security interest ("pledge") over the securities as collateral to secure a securities loan. (See Part B of this Annexure for securities loan details.)

Type of agreement	Global Master Securities Lending Agreement Security Agreement: the pro forma security agreement governing the pledge is attached to this notice
Parties to agreement	(1) STATE STREET BANK AND TRUST COMPANY (2) The entity referred to in the relevant part of Annexure B to this notice
Can the parties exercise voting rights attaching to the securities?	(1) Yes, but only if the borrower defaults and pledge is enforced (2) Yes

If yes, in what circumstances	(1) Only if the borrower defaults and pledge is enforced (2) In accordance with ordinary rights as registered holder, either directly or through nominee holder
Pledge date	The dates on which the securities were pledged, as indicated in Annexure B to this notice
Scheduled release date	No scheduled release date. Securities are released from pledge on termination of related securities loan
Does the borrower have the right to have the securities released early?	No scheduled release date. Securities are released from pledge on termination of related securities loan or the provision of alternative collateral
If yes, in what circumstances	At any time subject to returning the borrowed securities or equivalent securities or providing alternative collateral
Are there any circumstances in which the lender is not required to release the pledged securities on settlement?	No, assuming the borrower returns the borrowed securities or equivalent securities
If yes, detail any exceptions	n/a (lender must release pledged securities if the borrower returns the borrowed securities or equivalent securities)