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To

FAX NUMBER

ASX Market Announcements

Office

ASX

**O**RGANISATION

1300 135 638

**FROM** 

DIRECT LINE

David Holland

Sydney

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david.holland@hoganlovells.com

Private and confidential

13 November 2020

#### **NOTICE OF INITIAL SUBSTANTIAL HOLDER**

**Dear ASX Market Announcements Office** 

We act for Aurrum Holdings Pty Ltd (ACN 168 679 123). Please find attached a Form 603 - Notice of initial substantial holding - for Aurrum Holdings' holding in Japara Healthcare Limited (ACN 168 631 052) (ASX:JCH).

Please do not hesitate to contact us if you have any questions.

Yours sincerely

David Holland

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# Form 603

## Corporations Act 2001 Section 671B

# Notice of initial substantial holder

To: Company Name/Scheme

Japara Healthcare Limited (ASX:JHC)

ACN/ ARSN

168 631 052

1. Details of substantial holder (1)

Name

Aurrum Holdings Pty Ltd (Aurrum Holdings)

ACN/ARSN (if applicable)

ACN 168 679 123

The holder became a substantial holder on

10 / 11 / 2020

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities(4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary shares	16,753,341	16,753,341	6.27%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
CS Third Nominees Pty Limited (ABN 42 007 053 849) ( <b>Custodian 1</b> ) as custodian for Aurrum Holdings	Registered holder of the ordinary shares (as Custodian 1 for Aurrum Holdings).	14,421,646 ordinary shares
Citicorp Nominees Pty Limited (ABN 37 000 809 030) (Custodian 2) as custodian for Aurrum Holdings	Registered holder of the ordinary shares (as Custodian 2 for Aurrum Holdings).	2,331,695 ordinary shares
Aurrum Holdings	Beneficial holder of the ordinary shares (held through both Custodian 1 and Custodian 2 both as custodian for Aurrum Holdings).	16,753,341 ordinary shares
Mez Pty Ltd (ACN 166 758 032) ATF Di Pilla Family Trust ( <b>Mez</b> )	Taken to have a relevant interest by reason of having control of Aurrum Holdings.	As above for Aurrum Holdings.
David Di Pilla	Taken to have a relevant interest by reason of having control of Mez.	As above for Aurrum Holdings.
Aurrum (Brunswick) Pty Ltd ACN 168 679 221	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum (Healesville) Pty Ltd ACN 169 769 546	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.

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Aurrum (Reservoir) Pty Ltd ACN 169 769 484	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum (Wyoming) Pty Ltd ACN 604 835 583	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum Pty. Limited ACN 168 114 038	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum (Erina) Pty Ltd ACN 602 588 670	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum (Kincumber) Pty Ltd ACN 602 588 689	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum Investments Pty Ltd ACN 619 972 315	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum Hotel Services Pty Ltd ACN 606 076 402	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum (Plenty) Pty Ltd ACN 602 415 332	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum Developments Pty Ltd ACN 006 817 956	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.
Aurrum Childcare Pty Ltd ACN 640 240 473	Taken under section 608(3)(b) of the Corporations Act to have a relevant interest by reason of being an associate of Aurrum Holdings.	As above for Aurrum Holdings.

# 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Each party referred to as the holder of a relevant interest in section 3 above.	Custodian 1	Aurrum Holdings	14,421,646 ordinary shares
Each party referred to as the holder of a relevant interest in section 3 above.	Custodian 2	Aurrum Holdings	2,331,695 ordinary shares

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Considera	ition (9)	Class and number of securities
		Cash	Non-cash	
Aurrum Holdings	10 November 2020	\$753,835.15	N/A	1,781,695 ordinary shares
Aurrum Holdings	11 November 2020	\$264,495.00	N/A	550,000 ordinary shares
Aurrum Holdings	12 November 2020	\$586,611.87	N/A	1,099,966 ordinary shares

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## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Mez	Ultimate holding company of substantial holder.
David Di Pilla	Controls Mez Pty Ltd.
Aurrum (Brunswick) Pty Ltd ACN 168 679 221	Related body corporate of substantial holder.
Aurrum (Healesville) Pty Ltd ACN 169 769 546	Related body corporate of substantial holder.
Aurrum (Reservoir) Pty Ltd ACN 169 769 484	Related body corporate of substantial holder.
Aurrum (Wyoming) Pty Ltd ACN 604 835 583	Related body corporate of substantial holder.
Aurrum Pty. Limited ACN 168 114 038	Related body corporate of substantial holder.
Aurrum (Erina) Pty Ltd ACN 602 588 670	Related body corporate of substantial holder.
Aurrum (Kincumber) Pty Ltd ACN 602 588 689	Related body corporate of substantial holder.
Aurrum Investments Pty Ltd ACN 619 972 315	Related body corporate of substantial holder.
Aurrum Hotel Services Pty Ltd ACN 606 076 402	Related body corporate of substantial holder.
Aurrum (Plenty) Pty Ltd ACN 602 415 332	Related body corporate of substantial holder.
Aurrum Developments Pty Ltd ACN 006 817 956	Related body corporate of substantial holder.
Aurrum Childcare Pty Ltd ACN 640 240 473	Related body corporate of substantial holder.

### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Custodian 1	Level 31 Gateway 1 Macquarie Place Sydney NSW 2000
Custodian 2	GPO Box 764G Melbourne VIC 3001
Aurrum Holdings	17 Bay Street, Double Bay NSW 2028
Mez	Level 8 60 Carrington Street Sydney NSW 2000
David Di Pilla	c/o Level 8 60 Carrington Street Sydney NSW 2000
Aurrum (Brunswick) Pty Ltd ACN 168 679 221	As above for Aurrum Holdings.
Aurrum (Healesville) Pty Ltd ACN 169 769 546	As above for Aurrum Holdings.
Aurrum (Reservoir) Pty Ltd ACN 169 769 484	As above for Aurrum Holdings.
Aurrum (Wyoming) Pty Ltd ACN 604 835 583	As above for Aurrum Holdings.
Aurrum Pty. Limited ACN 168 114 038	As above for Aurrum Holdings.
Aurrum (Erina) Pty Ltd ACN 602 588 670	As above for Aurrum Holdings.
Aurrum (Kincumber) Pty Ltd ACN 602 588 689	As above for Aurrum Holdings.
Aurrum Investments Pty Ltd ACN 619 972 315	As above for Aurrum Holdings.
Aurrum Hotel Services Pty Ltd ACN 606 076 402	As above for Aurrum Holdings.
Aurrum (Plenty) Pty Ltd ACN 602 415 332	As above for Aurrum Holdings.
Aurrum Developments Pty Ltd ACN 006 817 956	As above for Aurrum Holdings.
Aurrum Childcare Pty Ltd ACN 640 240 473	As above for Aurrum Holdings.

Signature

print name	David DI Pilia	capacity DIRECTOR	
sign here	MILLUL	date (3 / 11 / 2020	
<del> </del>	DIRECTIONS		

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- Q See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.