

STOCKBROKING CASH ACCOUNT application form

Details

Cash Accounts offer competitive interest with no fees and charges.

To conveniently settle your share purchases or sales, we recommend opening a Cash Account with Bank of Western Australia Limited (Bankwest).

Advantages

- Bankwest offer a competitive interest rate, calculated on the daily balance of your account and credited monthly. These transactions appear on your monthly statement.
- There are no account fees and no government charges.

A Cash Account is necessary if you are planning to trade online.

How it works

Share purchases are debited from your account on the day of settlement and proceeds from share sales are credited to your account on the day of settlement.

There is no need to contact Bankwest – all enquiries about your account may be directed to Broker Services, including requests to withdraw funds.

If we receive your instruction to withdraw funds before 11.00am AEST/AEDT, we can draw a cheque on the same day. If your request is received after 11.00am, a cheque will be drawn the next business day or funds deposited into one of your other bank accounts.

Opening an account

To open a Cash Account, simply complete and send us the attached application form with identification (if not already provided).

Please call our Broker Services Team on **1300 557 561** for our current interest rates or if you require any further information.

Please note that funds on deposit with Bankwest are not protected by the ASX National Guarantee Fund.

Electronic verification check

We are required to verify your identity. We may be able to verify your identity by conducting an electronic verification check. If you do not wish us to conduct an electronic verification check, please notify us when you submit this form.

Driver's licence number

Account holder 1

Driver's licence number

Account holder 2

Providing your driver's licence number will help us to conduct your electronic verification check. We will not use your driver's licence number for any other purpose than to conduct your electronic verification check.

Non-electronic verification check

If we notify you that your electronic verification check was unsuccessful, or you do not wish us to conduct an electronic verification check, you'll need to provide us with certified copies of original identification verifying your full name, your current residential address and your date of birth. In either case, all the documents you'll need to provide are listed below.

Your application must be accompanied by a certified (true) copy of **EITHER** one document from Section A, **OR** one document from Section B and one from Section C, **for each applicant**.

Section A (PROVIDE ONE OF THE FOLLOWING)

- driver's licence (front and back) – MUST be current
- proof of age card issued by the RTA – MUST be current

OR

Section B (PROVIDE ONE OF THE FOLLOWING)

- passport – current or expired within the past TWO years
- birth certificate or birth extract issued by a State or Territory
- citizenship certificate issued by the Commonwealth
- pension card issued by Centrelink (front and back)

and

Section C (PROVIDE ONE OF THE FOLLOWING)

A recent utility bill, financial statement or council rate notice – issued within the last six months – showing your name and residential address. This can include one of the following:

- a financial statement (savings, credit, mortgage) issued by an Approved Deposit-taking Institution (ADI) in Australia. To obtain a full list of ADIs in Australia, visit www.apra.gov.au/adi/ADList.cfm. Please note that an electronic statement issued by any institution (including ADIs) may be accepted at CMC Markets' discretion

- gas, electricity, water, home phone, internet (dial-up, broadband), mobile phone bill, Foxtel bill
- a council rates notice
- a notice or assessment issued by the RTA or a government body
- a lease agreement, contract for sale of a property or rental bond lodgement document
- home and/or contents insurance policy statement

Certifying your documents

In order for your certification to be accepted, it must be signed and the certifier is to state that the document is a certified copy of an original. They must provide their name, address, phone number, and in what category of certifier they fall. We cannot accept a fax of a certified copy. For copies of your documents to be considered certified, they must be signed by any one of the following:

1. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
2. a judge of a court
3. a magistrate
4. a chief executive officer of a Commonwealth court
5. a registrar or deputy registrar of a court
6. a Justice of the Peace
7. a notary public (for the purposes of the *Statutory Declaration Regulations 1993*)
8. a police officer
9. an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
10. a permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
11. an Australian consular officer or an Australian diplomatic officer (within the meaning of the *Consular Fees Act 1955*)
12. an officer with two or more continuous years of service with one or more financial institutions (for the purposes of the *Statutory Declaration Regulations 1993*)
13. a finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the *Statutory Declaration Regulations 1993*)
14. an officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more continuous years of service with one or more licensees
15. a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership.

If you need help to meet these ID requirements, call InvestSMART Financial Services on **1300 880 160**.

Current Account Details

Client Account Number:

Account Holder 1

Company name

Account Holder 2

(if applicable)

Account Designation

(if applicable)

< _____ Account>

ACN

(if Company)

Authorisation

If you choose to open an account you provide us with the following authority:

I/We authorise CMC Markets Stockbroking to open an Interest Bearing Deposit Account with Bankwest in my/our name.

I/We authorise CMC Markets Stockbroking (and/or my/our Authorised Agent) to transfer monies held in their Trust account to my/our Cash Account and to withdraw funds when required in accordance with the Client Agreement and my/our instructions.

I/We acknowledge that I/we have read the Cash Account Product Disclosure Statement.

Tax File Numbers:

Account Holder 1: - -

Company: - -

Account Holder 2: - -

Trust/Superannuation Fund: - -

NB If your account is a Company, Trust or Superannuation Fund, please provide its Tax File Number (NOT the Trustee's Tax File Number). If your Tax File Number is not provided, any interest earned on your account will be taxed at the highest rate.

Date ____ / ____ / 20____

Account Holder 1

Account Holder 2

Client Signature(s)

Name(s) (printed)

Title (if company)

e.g. director, officer, secretary
